

Black phosphorus quantum dots: A new-type of water-based high-efficiency lubricant additive

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Abstract: Black phosphorus quantum dots (BPQDs), obtained via a typical solution-based top-down method, were used as water-based lubricant additives. BPQDs exhibited remarkable friction reduction and anti-wear properties even at the ultra-low concentration of 0.005 wt%, which reduced the friction coefficient and wear volume of the base liquid by 32.3% and 56.4%, respectively. In addition, the load-supporting capacity of the base liquid increased from 120 N to over 300 N. BPQDs-based additives exhibited a relatively long lifetime at a relatively high load of 80 N. The performance of BPQDs considerably exceeded that of the BP; this may be attributed to their small and uniform particle size, good dispersion stability in water, and high reactivity at the frictional surfaces. The results of the surface wear resistance analysis demonstrated that a robust tribochemical film with a thickness of approximately 90 nm was formed on the rubbing surface lubricated with 0.005 wt% of BPQDs dispersion. Moreover, the film served as a direct evidence of the excellent tribological performance of BPQDs.

Keywords: black phosphorus quantum dots; water-based lubricant additives; tribological performance; lubrication mechanism

1 Introduction

In recent years, sustainable development has been a commonly discussed theme worldwide, which is increasingly driving people's attention toward protecting the environment and saving energy. Recently, water-based lubricants have been associated with sustainable development because of their many advantages such as low cost, good recyclability, high cooling capability, and environmental friendliness [1]. However, water itself is a poor lubricant because of its low viscosity, poor film-forming ability, and high corrosivity. Several studies have shown that the most efficient approach for improving the lubrication ability of water-based lubricants, with respect to friction reduction and anti-wear performance,

entails additives.

Two-dimensional (2D) layered nanomaterials, such as transition metal dichalcogenides (TMDs) [2, 3], carbon nitride (C₃N₄) [4], graphene [5, 6], zirconium phosphate [7], palygorskite nanoplatelets [8], hexagonal boron nitride (hBN) [9], and MXene (Ti₃C₂) [10], have demonstrated high potential in the field of water-based lubricant additives [11–13]. Using these nanomaterials as additives can effectively enhance friction reduction and anti-wear performance in lubricants; however, they also have several shortcomings, including poor dispersion stability, non-uniform particle sizes, and poor embedding stability between the rubbing surfaces [14, 15]. These shortcomings lead to low tribological properties in traditional 2D nanomaterials, thus restricting

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their prospective applications in water-based lubrication. Therefore, exploring novel 2D nanoadditives with a uniform particle size distribution, good dispersion stability in water, and high stability on the rough surfaces is needed. As a new type of 2D layered nanomaterial, black phosphorus (BP) has attracted considerable attention because it has promising applications in tribology owing to its excellent physicochemical and mechanical properties [16, 17]. Seminal works have demonstrated that BP and its composites are useful as lubricant additives and can provide excellent lubricating effects owing to their superior properties [18–23]. However, BP-based materials are not frequently used as water-based lubricant additives owing to their low dispersion stability in water. Ultra-miniaturization, and nanocrystallization in particular, are effective methods for improving this property in BP-based materials.

Black phosphorus quantum dots (BPQDs) first prepared by the top-down method are novel derivatives of BP [24]. BPQDs have attained growing expectations in material and chemical sciences because they have applications in various fields such as bioimaging [25, 26], biosensing [27–29], drug delivery [30], photodynamic therapy [31, 32], solar cells [33], photocatalysis [34], and electrocatalysis [35]; and as photovoltaic devices [36]. The use of zero-dimensional (0D) carbon quantum dots (CQDs) and transition metal dichalcogenides quantum dots (TMDQDs) as additives can efficiently improve the lubrication performance of water-based lubricants [37–41]. Moreover, BPQDs also have high potential as high-performance water-based lubricant additives, since they have a uniform size distribution, good dispersion stability in water, desired reactivity, and excellent mechanical properties. Luo et al. studied BPQDs in aqueous ethylene glycol (EG). The BPQDs/EG suspensions exhibited a unique superlubricity phenomenon induced by the excellent tribological properties of BPQDs [42]. However, studies on using BPQDs as water-based lubricant additives are limited; hence, novel research on BPQDs nanoadditives is required.

In this study, BPQDs were obtained by the solution-based top-down method, using bulk BP powder as

the precursor. The morphology, structure, and composition of the as-synthesized BPQDs were comprehensively analyzed using various microscopic and spectroscopic techniques. The BPQDs exhibited good dispersion stability in water; hence, they were used as water-based lubricant additives. The tribological characteristics of the BPQDs were evaluated using a ball-on-plate standard tribometer operating in a linear reciprocating mode with steel-steel contact. The effects of additive concentration, load, and test duration on the tribological properties of BPQDs were investigated. A reasonable lubrication mechanism of BPQDs was confirmed by scanning electron microscopy (SEM), energy-dispersive spectroscopy (EDS), X-ray photoelectron spectroscopy (XPS), and cross-sectional Transmission electron microscopy (TEM). This study presents potential novel and significant applications of BPQD-based lubricant additives.

2 Experimental section

2.1 Chemicals

BP powder was obtained from Nanjing XFNANO Material Technology Co., Ltd. (China), and further stored in a dark Ar-glovebox. N-methyl-2-pyrrolidone (NMP, 98.5%) and triethanolamine (TEA, 99.5%) were obtained from Sigma-Aldrich Chemical Reagent Co., Ltd. (China) and Beijing Macklin Chemical Reagent Co., Ltd. (China), respectively. Petroleum ether (60%–90%) was obtained from Chengdu Kelong Chemical Co., Ltd. (China). All chemicals and reagents were used without further purification. Ultrapure water with a resistivity of approximately 18.25 M Ω cm was obtained by an ultrapure water purifier (China).

2.2 Preparation of BPQDs

As shown in Fig. 1, BPQDs were synthesized by the solution-based top-down method, that is, the ultrasonic method [24]. Typically, 30 mg of BP powder and 3.0 mL of NMP were grounded for 20 min in an agate mortar. The mixture was rapidly transferred to a 50 mL sealed conical flask, containing 30 mL of NMP, and was sonicated for 3 h

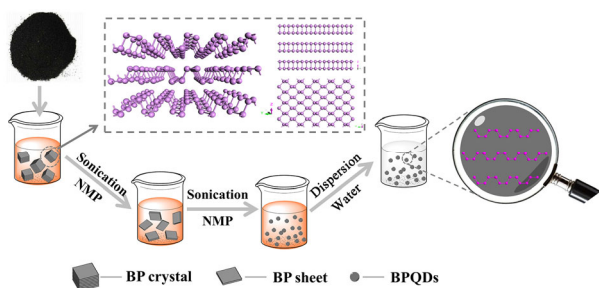


Fig. 1 Schematic of BPQDs synthesis.

(ultrasonic power: 1,200 W). The resultant black suspension was further sonicated in an ultrasonic bath that was kept below 0 °C in an ice bath for 8 h (ultrasonic power: 360 W). Then, the resulting black suspension was centrifuged at 7,000 rpm for 20 min, and the supernatant containing BPQDs was slowly decanted into the dialysis bag (molecular weight cutoff: 1,000 Da). The residual NMP molecules in the supernatant were removed using the dialysis method as efficiently as possible. The dialyzate was centrifuged at 16,000 rpm for 20 min, and the BPQDs were collected in the precipitate.

2.3 Preparation of BPQDs and BP dispersions

Triethanolamine (TEA) has been widely used as a corrosion inhibitor in water lubrication. Hence, the base liquid used to prepare the water-based lubricants was a TEA aqueous solution (2.0 wt%). The synthesized BPQDs were quantitatively dispersed into the base liquid by ultrasonic treatment for 10 min to obtain a 0.1 wt% BPQDs dispersion. Various concentrations of BPQDs dispersions (0.001–0.02 wt%) were obtained by diluting the 0.1 wt% of BPQDs dispersion with the base liquid. As the reference samples, BP dispersions were prepared by the described method.

2.4 Characterization

Transmission electron microscopy (TEM) and atomic force microscopy (AFM) were performed to observe the morphology and microstructure of the synthesized BPQDs. TEM and HR-TEM images of BPQDs were collected using a Tecnai G2 TF20 system at an accelerating voltage of 200 kV. The specimen was prepared by drop-casting a BPQDs aqueous suspension (0.05 mg/mL) onto a carbon-coated copper grid, followed by drying at room temperature. AFM

images were obtained using a Multimode 8 system (Bruker), and the specimen was prepared by drop-casting the sample aqueous solution onto a Si substrate, followed by drying at room temperature. The tests were performed under tapping mode in air. Fourier transform infrared (FTIR) spectra were recorded at wavenumbers in the range of 400–4,000 cm^{-1} on a WQF-520 spectrophotometer using the KBr pellet technique. X-ray diffraction (XRD) measurements were performed using a D8 Advance X-ray diffractometer with a wavelength (λ) of 0.15418 nm. BP and BPQDs samples were characterized by Raman spectroscopy (JY-HR800 microscope with 532 nm laser excitation). Additionally, the particle diameter and height of BPQDs were measured using a Nicomp 380 Dynamic Light Scattering Particle Size Analyzer and AFM, respectively. Images of the BPQDs dispersions were obtained using a Canon camera (EOS 550) under sunlight, and the fluorescence spectra were recorded using an LS-55 spectrofluorometer.

2.5 Tribological tests

The tribological behaviors of the BPQDs dispersions were systematically evaluated using a UMT tribometer (Bruker, USA) in linear reciprocating mode. In particular, a commercially available AISI-52100 steel ball (12.7 mm in diameter and hardness of approximately 59–61 HRC) reciprocally slid against a low stationary AISI-52100 steel plate (50 mm × 40 mm × 3 mm in size and approximately 59–61 HRC in hardness). The steel plate was mounted in a holder and connected through a stiff lever coupled with a friction force transducer. The friction coefficients (FC) were automatically recorded using a computer coupled with a high-sensitivity sensor. The tribological tests were performed by drop-casting approximately 0.5 mL water-based lubricant onto the contact area of the lower steel plate. Each test was conducted three times under specific test conditions (loads of 40–300 N, test duration within the range of 20–120 min, linear velocity of 10 mm/s, amplitude of 5 mm, and temperature of 30 °C) to obtain a friction coefficient (FC) curve. The mean friction coefficient (MFC) value was calculated as the average of all the data points on the FC curve, considering the

error bars.

2.6 Wear track surface analysis

After the friction tests, the wear track surfaces on the lower plates were adequately washed by petroleum ether (60%–90%) under ultrasonic treatment for 10 min. The width, depth, mean wear volume (MWV), two-dimensional (2D) profile, and three-dimensional (3D) morphology of the wear tracks of the lower plates were collected using a Bruker Contour GT-K 3D optical microscope. The morphologies and element compositions of the wear track surfaces were obtained via SEM coupled with EDS and XPS, respectively. To detect the tribofilm on the wear track surface, an ultra-small and thin cross-section was pulled out from the wear track of the lower plate via the focused ion beam (FIB) technique, and it was characterized by TEM coupled with EDS.

3 Results and discussion

3.1 Characterization of BPQDs

As shown in Fig. 2(a), TEM confirmed that BPQDs with a uniform size distribution were successfully synthesized by the solution-based top-down method. The particle size distribution shown in Fig. 2(c) indicates that the average particle size of BPQDs was approximately 3.9 nm. High-resolution transmission electron microscopy (HR-TEM) in Fig. 2(b) demonstrates that the prepared BPQDs has good crystallinity, and the spacing of the lattice fringes of the BPQDs was approximately 0.21 nm, which can be assigned to the (020) plane of the BP crystal. As illustrated in Figs. 2(d–f), the average height of the BPQDs was 1.0 ± 0.4 nm, as obtained by AFM and 3D AFM. It can be inferred that the BPQDs were not spherical nanoparticles, and the rounded nanosheets were similar to graphene quantum dots.

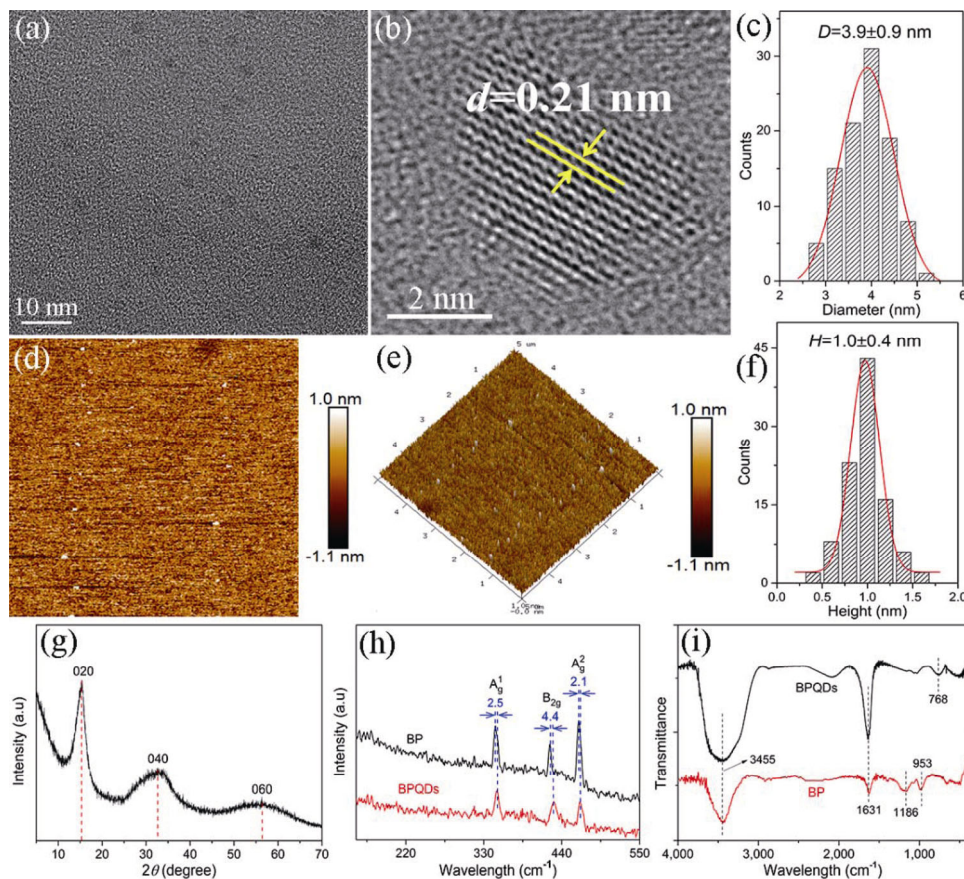


Fig. 2 Characterization of BPQDs: (a) TEM and (b) HR-TEM images; (c) diameter and (f) height distributions; (d) AFM and (e) 3D AFM images; (g) XRD patterns; (h) Raman and (i) FTIR spectra of BP and BPQDs.

As shown in Fig. 2(g), the XRD pattern of BPQDs exhibited three typical peaks centered at 15.4° , 32.6° and 56.5° that are related to (020), (040) and (060) planes of the BP crystal [28, 34]. The sharp diffraction peak at 15.4° was consistent with the HR-TEM image (Fig. 2(b)), and confirmed the good crystallinity of the BPQDs. In Fig. 2(h), the Raman spectrum of BP had three characteristic peaks located at 354 , 433 , and 466 cm^{-1} , which were attributed to the out-of-plane phonon mode (A_g^1) and the in-plane modes (B_{2g} and A_g^2) of the BP crystal [32, 43], respectively. Compared to the BP sample, the above peaks for BPQDs exhibited obvious blue shifts of 2.5 , 4.4 , and 2.1 cm^{-1} because of their small particle size and abundant surface functional groups. In addition, FTIR spectra were used to analyze the surface characteristic groups of the BP and BPQDs samples. In particular, the four feature peaks at $3,455$, $1,631$, $1,186$, and 953 cm^{-1} , shown in Fig. 2(i), can be attributed to the O-H, C=O, P=O, and P-O stretching vibrations of hydroxyl, carbonyl and phosphorus oxide, respectively. The above peaks were derived from the absorption of water and CO_2 as well as the surface oxidation of BP sample. The intensities of the peaks of O-H ($3,455\text{ cm}^{-1}$) and C=O ($1,631\text{ cm}^{-1}$) in the spectrum of BPQDs is considerably stronger than that of BP sample. Therefore, these strong peaks are caused by oxygen-containing groups on the surfaces of BPQDs and CO_2 adsorption. In addition to the aforementioned peaks, a new peak at 783 cm^{-1} induced by the asymmetric stretching vibration of C-N can also be observed, demonstrating that the synthesized BPQDs were modified by some NMP molecules. The abundant oxygen-containing groups and NMP molecules are expected to endow BPQDs with good dispersion stability in water.

3.2 Evaluation of the dispersion stability of BPQDs in the base liquid

The dispersion stability of nanomaterials as lubricant additives is a crucial factor to consider. It was systematically evaluated for the BPQDs aqueous dispersion (0.005 wt%) by macroscopic observation and fluorescence spectrophotometry. Figure 3 (left side) shows that a light brown dispersion was

obtained for the BPQDs dispersion. No agglomerates or precipitates were observed at the bottom of the container, even when the dispersion was stored for two weeks. These results reflect the good dispersion stability of the BPQDs in water. It is well known that a good dispersion of BPQDs could emit bright fluorescence under UV radiation because of the quantum confinement and surface passivation effects, whereas the fluorescence will be attenuated or even quenched due to the aggregation of BPQDs [39, 44, 45]. The photoluminescence (PL) excitation and emission spectra in Fig. 3(a) demonstrated that the BPQDs dispersion emitted blue fluorescence at 440 nm , under 370 nm excitation. The bright blue fluorescence could be observed from the image of BPQDs dispersion (insets) under UV irradiation (365 nm). Although the PL emission spectrum exhibited a slight blue shift, the fluorescence intensity did not have any variation when the dispersion was analyzed after the sample settled for two weeks (Fig. 3(b)), indicating that BPQDs had an excellent dispersion stability in the base liquid at a micro-level.

3.3 Tribological properties of BPQDs as water-based additives

The effects of the additive concentration (c , wt%),

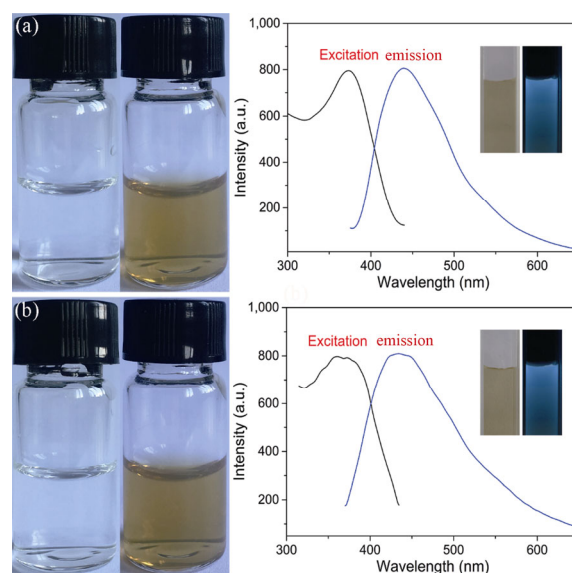


Fig. 3 Images of the base liquid and the BPQDs dispersion (0.005 wt%), as along with the PL excitation and emission spectra of the 0.005 wt% BPQDs dispersion (a) after preparation and (b) after a period of two weeks.

on the lubrication performance of BPQDs, were investigated in detail. Figure 4(a) shows that the FC curves of BPQDs dispersions at different additive contents (c) were smoother and were located below, when compared to that of the base liquid, indicating the outstanding friction-reducing effect of BPQDs. The base liquid containing 0.005 wt% of BPQDs exhibited the lowest FC curve, suggesting that there may be an optimal c for BPQDs in the base liquid. Furthermore, the optimal c for BPQDs in this study was 0.005 wt%, which is the lowest additive content (c) reported in the literature for nano-additives (including metal- [46], metal oxide-[47–49], metal sulfide-[50, 51], metal borate-[52], polymer-[53], and carbon-based nano-additives [54–56]). As shown in Fig. 4(b), the MFC and MWV of the lower plates first decreased drastically and then had a slight increase when increasing c , further confirming an optimal c of 0.005 wt% for this study. The addition of BPQDs 0.005 wt% to the tested sample reduced the MFC and MWV of the base liquid by 32.3% and 56.4%, respectively.

In addition, when using the optimal c of 0.005 wt% BPQDs, the effects of the load on the tribological behavior of BPQDs were also evaluated. Figure 5 indicates that BPQDs as additives can highly enhance the load-supporting capacity of the base liquid from 120 N to at least 300 N; i.e., the load-carrying capacity of the base liquid increased by 150% when 0.005 wt% of BPQDs were dispersed. The MFC of the base liquid and the BPQDs dispersion considerably decreased when the load increased, while the MWV exhibited the opposite behavior. The latter can be explained by the Hertz theory, which states that the contact area between the steel ball and the plate increases with the increase in load. However, the increase in the contact area was lower than that of the load. In comparison with previously reported carbon/graphene quantum dots [57–59], colloidal polystyrene-carbon nanotubes [60], and graphene oxides [61, 62], BPQDs possess a much better load-supporting capacity under identical experimental conditions, even when using a small additive c of 0.005 wt%.

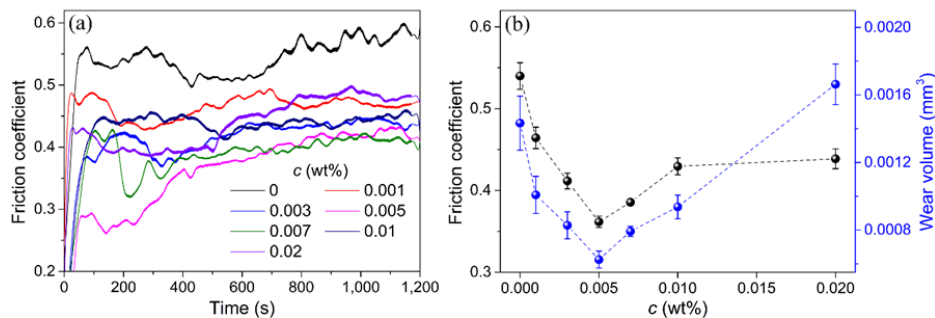


Fig. 4 (a) FC curves (smoothed) of BPQDs dispersions with different additive content, c in BPQDs wt%. (b) MFC and MWV of lower plates lubricated by BPQDs dispersions as a function of c . (Test conditions: 5 Hz, 20 min, 40 N, and room temperature).

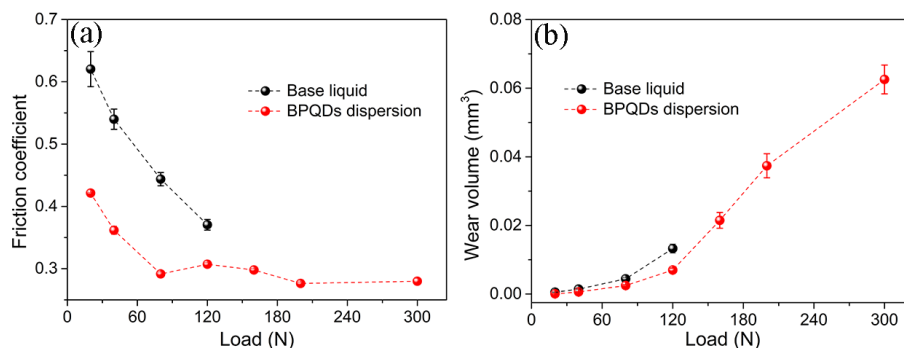


Fig. 5 (a) MFC and (b) MWV of lower plates lubricated by the base liquid and the BPQDs dispersion (0.005 wt%), as a function of load. (Test conditions: 5 Hz, 20 min, and room temperature).

For comparison purposes, BP powder was also utilized as additive of the base liquid to further highlight the superior performance of BPQDs as additives. In Fig. 6(a), the values of the FC curve for the BPQDs dispersion (0.005 wt%) are remarkably smaller than those of the FC curve for BP dispersion (0.005 wt%) and for the base liquid. Likewise, the variation tendency of the MFC and MWV (Fig. 6(b)) was highly consistent with the FC curves, illustrating that the friction-reducing and anti-wear effects of the ultra-small BPQDs were significantly better than those of the large-sized BP. Nonetheless, BP as an additive can moderately promote the lubrication performance of the base liquid. The excellent tribological properties of BP-based additives might be closely related to their sizes and geometrical shapes. It is well accepted that the lifetime of lubricant additives has great significance on their practical application. Herein, the lifetime of BPQDs was assessed by prolonging the duration of the friction tests from 20 to 120 min at a relatively high load (80 N). As shown in Fig. 6(c), although the FC curves of the BPQDs dispersion (0.005 wt%) and

the base liquid first showed a slight increase and then a high decrease throughout the friction process, the former was distinctly lower than the latter at the second half of the friction test. In addition, Fig. 6(d) shows that the 0.005 wt% BPQDs dispersion resulted in reductions of MFC and MWV by 27.6% and 41.2%, respectively, compared with the base liquid. Thus, BPQDs could maintain their lubrication effect without decreasing over a long period at relatively high loads; i.e., the BPQDs as water-based lubricant additives have a long lifetime.

3.4 Wear track surface analyses and lubrication mechanism

Research on the applications of BPQDs in the field of nano-additive lubricants is still growing. As a new nano-additive, it is particularly important to explore the lubrication mechanism of BPQDs. In this study, the wear tracks of the lower plates were analyzed by 3D optical microscope, SEM coupled with EDS, XPS, and cross-sectional TEM characterization. Figure 7 shows the 3D morphologies and

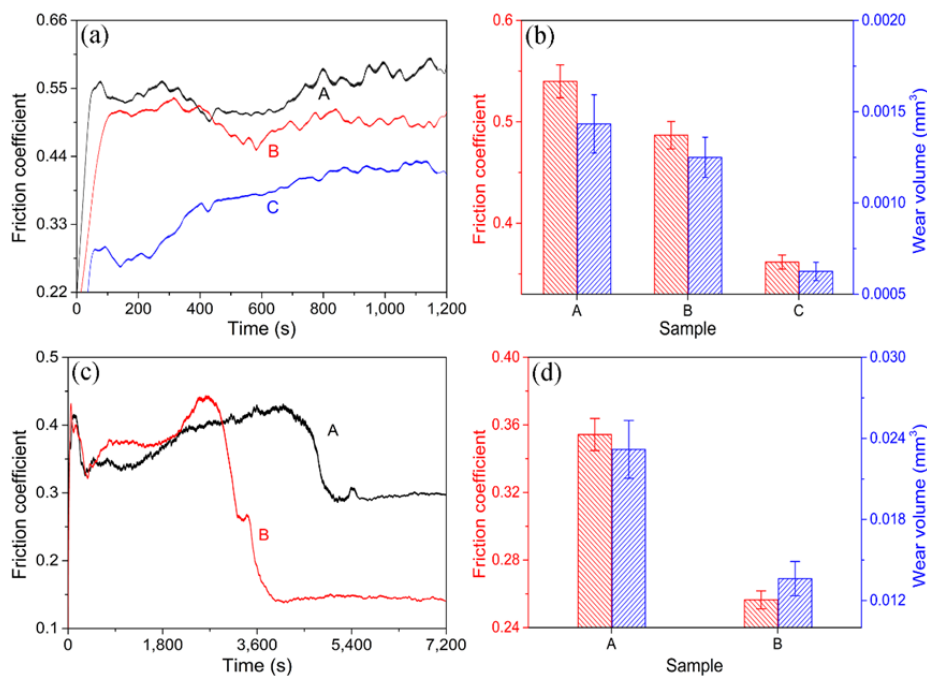


Fig. 6 (a) FC curves (smoothed) and (b) MFC and MWV of lower plates lubricated by: (A) the base liquid, (B) 0.005 wt% of BP, and (C) 0.005 wt% of BPQDs dispersions (test conditions: 5 Hz, 20 min, 40 N, and room temperature). (c) FC curves (smoothed) and (d) MFC and MWV of lower plates lubricated by: (A) base liquid and (B) 0.005 wt% of BPQDs dispersion (test conditions: 5 Hz, 120 min, 80 N, and room temperature).

2D profiles of the wear tracks of lower plates lubricated by the base liquid, BP, and BPQDs dispersions (0.005 wt%). The wear track lubricated by the base liquid had a width of 0.2572 mm and a depth of 0.0029 mm, which are very large values, as well as many deep scratches (Fig. 7(a)), implying that serious adhesive and/or corrosion wear occurred during the friction process owing to the poor lubrication capacity of the base liquid. This situation was only slightly improved when the c of BP was 0.005 wt% in the base liquid (Fig. 7(b)). In contrast, the width and depth of the wear track lubricated by the BPQDs dispersion (0.005 wt%) remarkably reduced to 0.2326 and 0.0014 mm, respectively (Fig. 7(c)). Furthermore, the wear track depth of the base liquid decreased by 51.7% when adding the low c of BPQDs (0.005 wt%). These results were consistent with the microscopic images of the wear tracks (Fig. S1 in the Electronic Supplementary Material (ESM)).

As illustrated in Fig. 8(a), many discontinuous flaky films were observed in the SEM and HR-SEM images (marked by blue arrows) when the wear

track surface was lubricated by the base liquid, demonstrating the poor lubrication ability of the base liquid. The direct contact of rubbing surfaces and the severe adhesive and corrosive wear should occur during the friction process. Fig. 8(b) shows that those flaky films disappeared for the wear track lubricated by BP dispersion. However, many deep scratches emerged, implying that severe abrasive wear occurred because of the poor dispersion stability of BP in water and its large particle size. A tight and smooth film on the wear track surface lubricated by the BPQDs dispersion (0.005 wt%) was observed despite the presence of few small cracks. BPQDs are crucial in the formation of a boundary lubrication film, owing to their small and uniform particle size, good dispersion stability, and high reactivity. That film prevented the rubbing surfaces from direct contact, and hence reduced friction and wear.

Figure 9 illustrates the selected elemental area maps (from HR-SEM images) of wear track surfaces lubricated by the base liquid, the BP and BPQDs

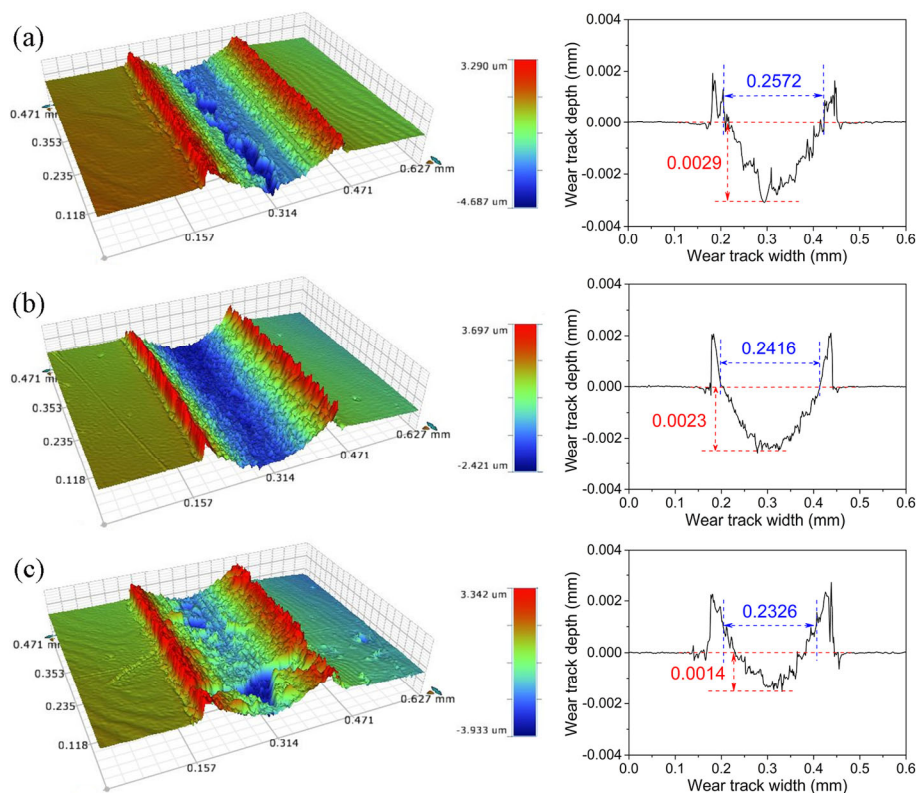


Fig. 7 3D morphologies and two-dimensional profiles of the wear tracks of lower plates lubricated by (a) base liquid, (b) 0.005 wt% BP dispersion, and (c) 0.005 wt% BPQDs dispersion. (Test conditions: 5 Hz, 20 min, 40 N, and room temperature).

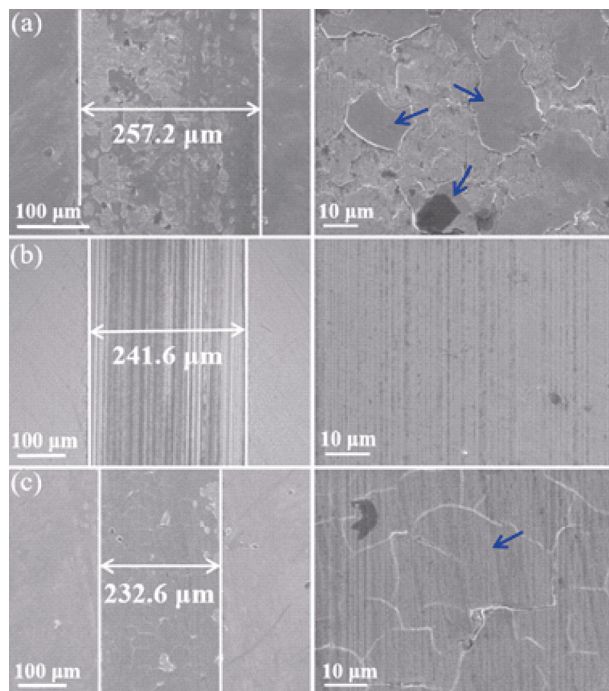


Fig. 8 Low-(left) and high-resolution (right) SEM images of the wear track surfaces lubricated by (a) base liquid, (b) 0.005 wt% BP dispersion, and (c) 0.005 wt% BPQDs dispersion. (Test conditions: 5 Hz, 20 min, 40 N, and room temperature).

dispersions (0.005 wt%). As shown in Fig. 9(a), the uniform distribution of Fe, C, O, and N was confirmed on the wear track surface lubricated by the base liquid. The detected C and N were mainly stemmed from the adsorption of TEA molecules. In addition to those elements, a trace amount of P was detected on the wear track surface lubricated by the 0.005 wt% BP dispersion (Fig. 9(b)), derived

from remnant BP components during the friction process. As shown in Fig. 9(c), except for Fe, C, O and N, a great amount of P was uniformly distributed on the wear track surface lubricated by the BPQDs dispersion, confirming that BPQDs can participate in the formation of the boundary tribofilm. The detailed element compositions and EDS spectra of wear track surfaces lubricated by the base liquid and dispersions are shown in Fig. S2 (in ESM). They were consistent with the results of the elemental maps. According to these findings, it can be inferred that the ultra-small BPQDs easily react with the rubbing surfaces under the high frictional heat and contact pressure to form a robust boundary tribofilm, which plays an important role on reducing the friction and wear impacts.

The full-scan XPS spectra results (Fig. S3 in ESM) confirmed that the elemental compositions of the wear track surfaces lubricated by the base liquid and the dispersions were consistent with the EDS analyses (Fig. S2 in ESM). Figure 10 shows the high-resolution (HR) elemental XPS spectra of the wear track surfaces at the lower plates lubricated by the base liquid, the BP dispersion (0.005 wt%), and the BPQDs dispersion (0.005 wt%). The HR Fe 2p, O 1s, and C 1s XPS spectra in Fig. 10(a) demonstrated that iron oxides (such as FeO at 708.5/528.7 eV and Fe₂O₃ at 713.1/530.7 eV) and organic compounds (composed of C, O, and N) can be probed on the wear track surface lubricated by the base liquid. The typical fitting elemental

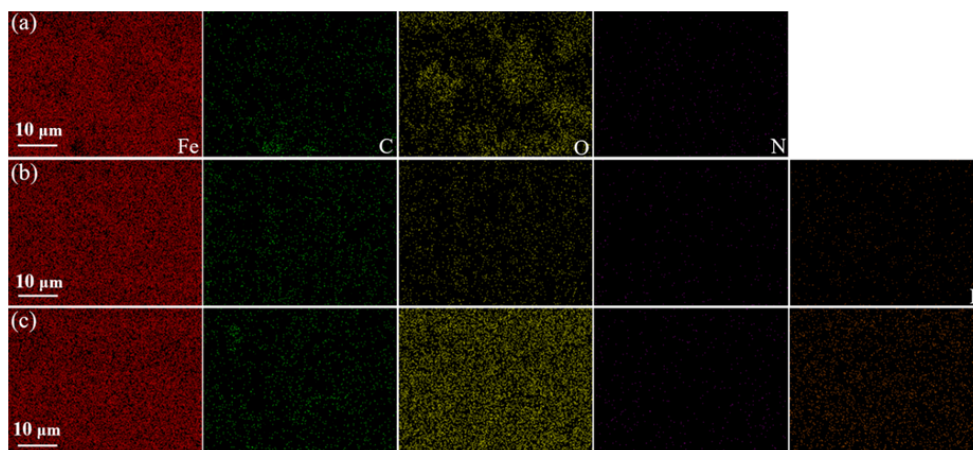


Fig. 9 Element maps of the wear track surfaces lubricated by: (a) base liquid, (b) 0.005 wt% BP dispersion, and (c) 0.005 wt% BPQDs dispersion. (Red: Fe, green: C, yellow: O, purple: N, and orange: P).

XPS peaks of the wear track surface lubricated by the BP dispersion (Fig. 10(b)) were similar to those of the base liquid, except for the fact that the C–N species disappeared. This suggested that the abrasive wear of the BP particles hindered the absorption of TEA molecules on the rubbing surfaces. Moreover, no characteristic peaks were detected in the HR P 2p XPS spectrum (Fig. S4 in ESM). These results proved the poor embedding stability of BP between the rubbing surfaces, and hence low film-forming ability. In addition to the described peaks, some new peaks at 710.8 eV (Fe 2p), 532.1 eV (O 1s), 132.6 eV (P 2p), 535.7 eV (O 1s), 139.4 eV (P 2p), and 283.1 eV (C 1s) that were attributed to the iron phosphate (FePO_4), phosphorus pentoxide (P_2O_5), and metal carbide (FeC) could be detected on the wear track surface lubricated by the BPQDs dispersion (Fig. 10(c)). These results clearly confirmed that the complicated tribochemical reactions between the rubbing surface and the BPQDs might take place during the friction process.

The cross-sectional TEM image in Fig. 11(a) demonstrates that a continuous, uniform, and tight tribofilm with a thickness of approximately 90 nm could be present between the Pt protection layer and the steel substrate on the wear track lubricated by the BPQDs dispersion (0.005 wt%). As shown in Figs. 11(b) and 11(c), the formed tribofilm was almost amorphous and embedded with many nanocrystals with an average particle diameter of approximately 4.0 nm. Additionally, the HR-TEM image (inset in Fig. 11(c)) demonstrates that the spacing of the lattice fringes of the nanocrystal was approximately 0.22 nm, which is attributed to the (020) plane of the BP crystal. These results collectively indicate that the detected nanocrystals in the tribofilm are BPQDs. In Fig. 11(d), EDS elemental maps of the selected areas show that the tribofilm has high contents of C, O, N, and P, and a low content of Fe, which is consistent with the SEM and XPS characterizations. It may be observed that the formed tribofilm was actually a tribochemical film

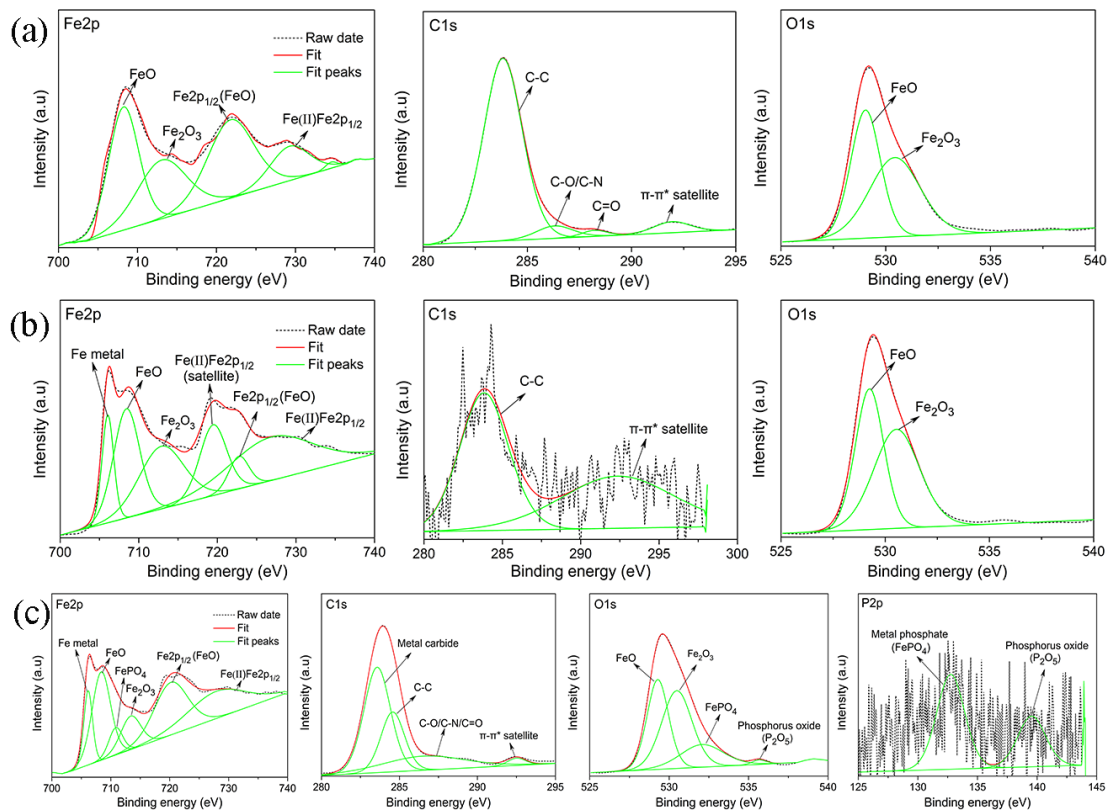


Fig. 10 (a) HR XPS Fe 2p, C 1s, and O 1s spectra of the wear track surface lubricated by the base liquid. (b) HR XPS Fe 2p, C 1s, and O 1s spectra of the wear track surface lubricated by the 0.005 wt% BP dispersion. (c) HR XPS Fe 2p, C 1s, O 1s, and P 2p spectra of the wear track surface lubricated by the 0.005 wt% BPQDs dispersion.

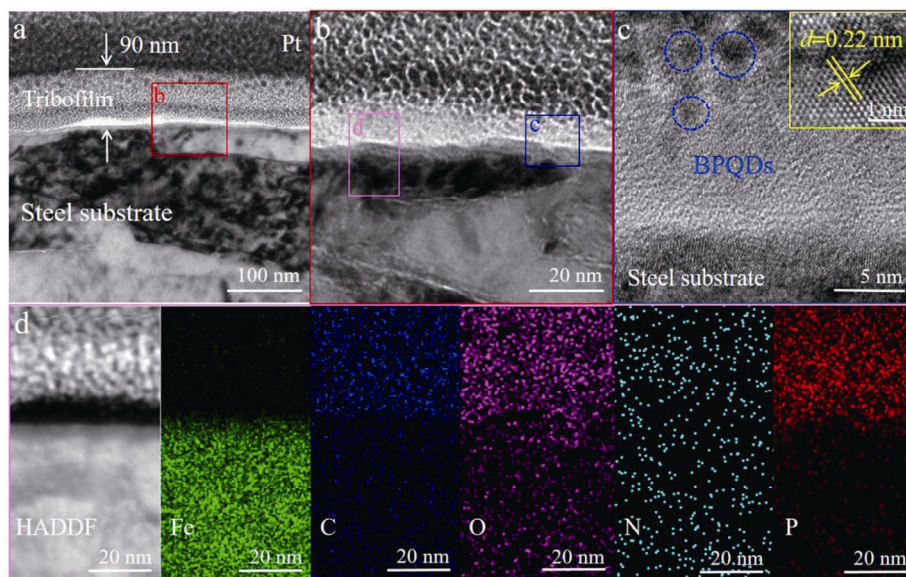


Fig. 11 (a–c) Bright-field cross-section TEM images and (d) EDS elemental maps of the wear scar of lower plate lubricated by the BPQDs dispersion (0.005 wt%). (Test conditions: 5 Hz, 20 min, 40 N, and room temperature).

embedded with BPQDs.

Based on the results of the wear track surface analysis, proposing a reasonable lubrication mechanism for BP and BPQDs as water-based lubricant additives (shown in Fig. 12) was attempted. As previously mentioned, the complicated tribochemical reactions between the rubbing surfaces and BPQDs should take place to form a sophisticated tribochemical film, which was observed in the corresponding bright-field cross-sectional TEM images (Fig. 11). The formed tribochemical film comprised iron oxides (FeO and Fe₂O₃), iron phosphate (FePO₄), metal carbide (FeC), phosphorus oxide (P₂O₅), and organic compounds embedded with the BPQDs. The formed tribochemical film could effectively improve the friction-reducing and anti-wear effects of friction pairs by preventing the direct contact of rubbing surfaces [58, 63, 64]. Additionally, the BPQDs embedded into the tribochemical film may also function as ball-bearings, which provide nano-lubrication effects (such as mending, polishing and rolling effects) to further reduce friction and wear [14, 65]. BP neither exhibited the nano-lubrication effects due to its poor dispersion stability, nor reacted with the rubbing surface to form a tribochemical film because of its low reactivity. In addition, BP might be squeezed from rubbing surfaces during the friction process because of its large particle

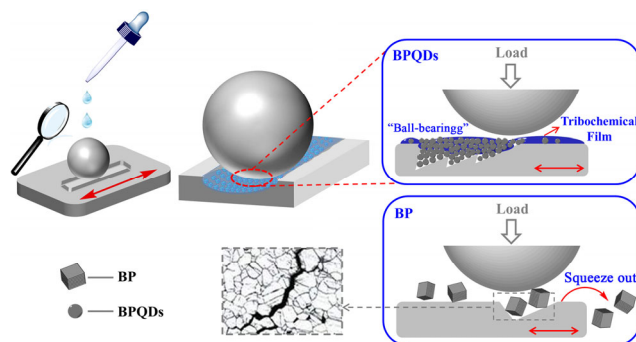


Fig. 12 Schematic of the lubrication mechanism of BPQDs and BP as water-based lubricant additives.

size, resulting in the direct contact with the roughness of the rubbing surfaces, hence having a limited tribological performance. In summary, the formed tribochemical film embedded with BPQDs during the friction process was in principle responsible for the superior tribological performance of BPQDs.

4 Conclusions

BPQDs with a rounded nanosheet morphology similar to graphene quantum dots (average particle size of 3.9 nm and thickness of 1.0 nm) were successfully synthesized by the simple solution-based top-down method. The BPQDs had an excellent dispersion stability in water and were used as water-based lubricant additives. The BPQD-based additives

exhibited remarkable friction-reducing and anti-wear performances. At a very small additive content (c) of 0.005 wt%, the BPQDs could reduce the friction coefficient and wear volume of the base liquid by 32.3% and 56.4%, respectively. Meanwhile, the load-carrying capacity of the base liquid increased from 120 N to at least 300 N. The tribological properties of BPQDs were slightly attenuated only when the friction test duration prolonged from 20 to 120 min, and the load was increased from 40 to 80 N. The tribological performance of BPQDs was distinctly superior to that of BP. The results of the wear-track surface analysis illustrated that BPQDs could react with the rubbing surface to form a robust tribochemical film with an average thickness of approximately 90 nm, under local high frictional heat and high contact pressure, thus preventing direct contact between the rubbing surfaces and significantly reducing friction and wear. Additionally, BPQDs may also act as “ball-bearings” that provide mending, polishing, and rolling effects to further lower the friction and wear of rubbing surfaces. In summary, BPQDs as novel lubricant additives have shown high potential to be used in water-based lubrication.

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