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A Changing United Nations

Multilateral Evolution and the Quest for Global Governance

W. Andy Knight *University of Alberta*

Foreword by

James S. Sutterlin Former UN Under Secretary General





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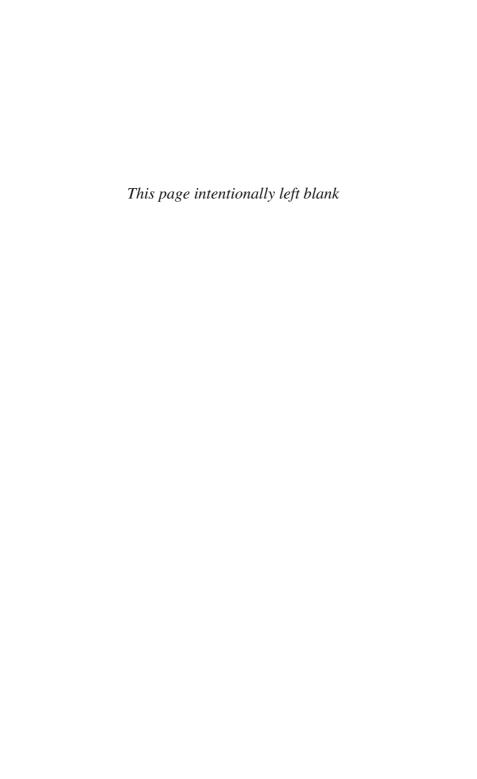
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To my spouse, Mitra



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Foreword

This is a book about change. The focus is on the United Nations but the field of vision is the larger world that the United Nations serves. There could hardly be a more fitting theme. No doubt most generations have claimed, with excitement or resignation, that they lived in a time of seminal change. Those who witnessed the fall of Constantinople were as justified in their assumption as those of us today who witnessed the fall of the Berlin Wall. But only since the founding of the United Nations has there been in place an international organization of global reach dedicated to the promotion of all the elements of a peaceful world – to ensure that change brings peace and not war. To accomplish this task, the United Nations, in the person of its member states, of its constituent peoples and of its leadership, must understand the nature and extent of changes in the global complex. It must meet the challenges that they present. And, if possible, it must prepare for the future challenges that these changes portend.

The end of the Cold War clearly signalled a seismic change in world affairs. The United Nations Secretary-General was among the first to sense already in the 1980s that change was in the air. In his 1987 Annual Report to the General Assembly, Javier Pérez de Cuéllar wrote 'countries of disparate political orientations and economic systems have begun to deal with problems of an interdependent world with a new pragmatism.' 'It is as if,' he continued, 'the sails of the small boat in which all the people of the earth are gathered had caught again, in the midst of a perilous sea, a light, but favorable wind.' The wind, it turned out, was favourable, indeed, but so strong as to blow the United Nations into unknown waters, with a Charter, drafted a half a century earlier, as its principal guide.

The years following the war between Iran and Iraq, which the United Nations did much to end, saw major accomplishments: the independence of Namibia, the successful peace process in Central America, a reborn Cambodia, hopeful mediation on the Western Sahara, the defeat of Iraqi aggression. With it all came the reemergence of United Nations intellectual leadership in defining the means of achieving international security and promoting democratization based on respect for the human rights defined in the UN Charter. Yet in the midst of success, it became apparent that the winds of change were so strong that many feared the

United Nations could not sail safely into the twenty-first century unless it was thoroughly overhauled.

Thus, concomitant with the Fiftieth Anniversary of the United Nations, there was a widespread call from member states and countless scholarly institutions and non-governmental organizations for reform. The General Assembly formed working groups to debate what should be done. The non-official groups formulated recommendations covering the gamut of UN activities. These are fully described in the present volume and their merits and weaknesses well analysed. The General Assembly Working Groups were mostly unable to agree on significant changes in the structure or functioning of the organization. The more ambitious recommendations of the external groups have been put quietly on the shelf along with their numerous predecessors. Most of the reforms that have taken place since the Fiftieth Anniversary have related to the administration and management of the Secretariat and, to a more limited extent, of the UN system as a whole. They have been taken at the instigation of Secretary-General Kofi Annan in the framework of what he has perhaps too grandly termed a 'culture of reform.

Yet, as the author of this book helps us to understand, the United Nations as a unique global organization, has changed profoundly in reacting – too often on an ad hoc basis – to a world in which the sanctity of state sovereignty must be weighed against the sanctity of human rights. It has been scarcely a decade since the United Nations first agreed - hesitantly - to monitor elections in a member state. Now the practice has become so common as to require a special section in the Secretariat. Only in the face of ongoing internal conflict in Croatia did the United Nations recognize – even more hesitantly – that force should be used as a provisional measure to bring peace and to end humanitarian crises as well as to meet and repel threats of aggression. In a remarkably short time, the Secretary-General and the Security Council learned that while such use of force was necessary, under still ill-defined terms, it could best be applied by external coalitions under the Security Council's authority. In Iraq the United Nations has undertaken to deprive a sovereign state of the right to possess weapons of mass destruction. The present Secretary-General has declared that:

State sovereignty, in its most basic sense, is being redefined – not least by the forces of globalization and international co-operation. States are now widely understood to be instruments at the service of their peoples, and not vice versa. At the same time individual

sovereignty...has been enhanced by a renewed and spreading consciousness of individual rights.²

This has not been said without objections by some states. A decade ago it could hardly have been said by a Secretary-General at all. These are changes of profound significance even if they remain undefined in any guidelines for future action.

While the present volume expresses doubt as to whether the United Nations is yet prepared to meet the challenges of a new century, the final conclusion is that it will survive. The author of this book provides extensive material on the basis of which the reader can judge these views. My sense is that the challenges of the twenty-first century are already upon us. The United Nations is already hard pressed, having had inadequate time to prepare and insufficient support from its members. The burden cannot rest entirely with the United Nations. The governments and the peoples that elect them must change as much, or even more, than the United Nations in response to a world community struggling to preserve an anarchic state structure within global governance regimes of which the United Nations is called upon to be the unifying element. The present book can do much to help both statesmen and students to understand what is required.

James S. Sutterlin Yale University 16 October 1999

Preface

The analysis here of reflexive adaptation, reform and learning processes in the United Nations is both timely and important, particularly when one considers that epiphenomenal and structural/ideological changes in international society have forced a re-examination of the significance and relevance of this multilateral entity to contemporary world politics. In this study, the problematic facing the UN can be summarized as follows: How has the UN handled organizational change in the past? In which direction have the change efforts, to date, taken the organization? Has the UN system adapted sufficiently to accommodate exogenous and endogenous forces? To what extent is this organization a 'decision frozen in time'? Should UN member states and Secretariat staff adopt more radical or transformative organizational adjustments in light of what appears to be a transition to a post-Westphalian, postmodern era? What ought to be the new role for the UN during this period of transition and beyond? Are there other models of multilateral arrangements that would be more relevant to the demands of international society in the new millennium?

Richard Berstein has written that when foundations appear to be cracking and orthodoxies questioned, 'a public space is created in which basic questions about the human condition can be raised anew'. This study attempts to occupy such a public space, at least as it applies to the subject of evolving multilateralism and changing the UN in response to the quest for global governance, global order and global democracy.

W.A.K.

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I am also very grateful for the assistance of the following current or former UN secretariat staff members who provided me with relevant and timely information along the way: Ms Jacqueline Aloisi de Larderel, Mr Angelides, Mr Georges le Blanc, Ms Norma Chan, Mr Corwin, Dr Juergen Dedring, Ms Pascale De La Frégonnière, Mr Hilmar Galter, Mr Salah Ibrahim, Mr Andri Isaksson, Dr James Jonah, Mr K. Khaw, Ms Angela Uther Knippenberg, Mr B. G. Ramcharan, Mr. José de Ribes-Gil, Mr Rogrigues, Ms Petra Schmidt, Mr Michael Stopford, Ms Barbara Sue-Ting-Len, Sir Brian Urquhart, Mr Layachi Yaker and last but certainly not least Ms Mari Yamashita. Several Canadians who have, at one time or another, worked in the UN system granted me interviews and provided useful information about various aspects of UN reform efforts. These include Mr Yves Fortier and Mr Stephen Lewis, former Ambassadors to the United Nations, and Mr John Ausman, Mr Graham Green, Mr Sam Hanson, Mr Alex Morrison, and Mr Charles Svoboda, officials of the Department of Foreign Affairs and International Trade.

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List of Acronyms

Advisory Committee on Administrative and Budgetary ACABQ Questions **ACASTD** Advisory Committee for the Application of Science and Technology for Development ACC Administrative Committee on Coordination **ACUNS** Academic Council on the United Nations System **ANZUS** Australia-New Zealand-US Security Pact **ASEAN** Association of South East Asian Nations ASG Assistant Secretary-General **CCAQ** Coordinating Committee for Administrative Questions **CCISUA** Coordinating Committee for Independent Staff Unions and Associations of the UN System **CCSQ** Consultative Committee on Substantive Questions CDP Committee for Development Planning **CENTO** Central Treaty Organization **CNR** Committee on Natural Resources **CPC** Committee for Programme and Coordination **CSCE** Conference on Security and Cooperation in Europe **CSDHA** Centre for Social Development and Humanitarian Affairs **CSTD** Centre for Science and Technology for Development CTC Commission on Transnational Corporations DAM Department of Administration and Management DCS Department of Conference Services Department for Disarmament Affairs DDA DESD Department of Economic and Social Development DG Director-General DIEC Director-General for International Economic Cooperation Department of International Economic and Social Affairs DIESA Mission of the Representative of the Secretary-General in **DOMREP** the Dominican Republic DPA Department of Political Affairs DPI Department of Public Information

European Community

Department for Political and Security Council Affairs

Department of Technical Cooperation for Development

DPSCA

DTCD EC **ECA** Economic Commission for Africa

Economic Cooperation among Developing Countries FCDC

Economic Commission for Europe ECE

ECLA Economic Commission for Latin America

ECLAC Economic Commission for Latin America and the Caribbean ECOMOG Economic Community (of West African States) Monitoring

Group

Economic and Social Council ECOSOC

ECOWAS Economic Community of West African States

EEC **European Economic Community**

Convention on the Prohibition of Military or Any Other **ENMOD**

Hostile Use of Environmental Modification Techniques

(UN)Expanded Programme of Technical Assistance **EPTA**

ESC Economic Security Council

ESCAP Economic and Social Commission for Asia and the Pacific

Economic and Social Commission for Western Asia **ESCWA**

FIIEuropean Union

Food and Agriculture Organization FAO

G-77 Group of 77

GATT General Agreement on Tariffs and Trade Global Environmental Monitoring System GEMS

Global System of Trade Preferences GSTP

United Nations Centre for Human Settlement HABITAT

IAD Internal Audit Division

IAFA International Atomic Energy Agency

International Bank for Reconstruction and Development **IBRD**

International Civil Aviation Organization **ICAO**

ICI International Court of Justice **ICC** International Criminal Court

ICSC International Civil Service Commission IDA International Development Association IDDA Industrial Development Decade for Africa IDS

International Development Strategy

International Fund for Agricultural Development IFAD

IFC International Finance Corporation Intergovernmental Organization IGO International Labour Organization ILO

International Maritime Consultative Organization **IMCO**

International Monetary Fund IMF

IMO International Maritime Organization

International Non-Governmental Organization INGO

INSTRAW International Research and Training Institute for the

Advancement of Women

IO International Organization IR International Relations

IRPTC International Register of Potentially Toxic Chemicals

ITC International Trade Centre

ITU International Telecommunications Union

JAB United Nations Joint Appeals Board

JIU Joint Inspection Unit LAS League of Arab States LDCs Least Developed Countries

MINURCA UN Mission in the Central African Republic

MINURSO UN Mission for the Referendum in Western Sahara

MONUA UN Observation Mission in Angola

MSC Military Staff Committee

MULPOC Multinational Programming and Operational Centre MUNS Programme on Multilateralism and the United Nations

System

NAM Non-Aligned Movement

NATO North Atlantic Treaty Organization NGO Non-Governmental Organization NICs Newly Industrializing Countries NIEO New International Economic Order

NPT Treaty on the Non-Proliferation of Nuclear Weapons

OAS Organization of American States
OAU Organization of African Unity
OCS Office of Conference Services
OD Organizational Development

OECD Organization for Economic Cooperation and Development

OERC Office of Emergency Relief Coordination

OGS Office of General Service

OHRM Office of Human Resource Management OIC Organization of Islamic Conference

OLA Office of Legal Affairs

ONUC UN Peacekeeping Force in the Congo ONUCA UN Observer Group in Central America

ONUMOZ UN Operations in Mozambique ONUSAL UN Observer Mission in El Salvador ONUVEH UN Verification Mission in Haiti

ONUVEN UN Observer Mission for the Verification of Elections in

Nicaragua

OOALS UN Office for Ocean Affairs and the Law of the Sea OPEC Organization of Petroleum Exporting Countries

OPO Office of Peacekeeping Operations

OPPBF Office of Programme Planning, Budget and Finance
ORCI Office of Research and Collection of Information
OSCE Organization for Security and Cooperation in Europe
OSSESM Office of Secretariat Services for Economic and Social Matters

PCIJ Permanent Court of International Justice

PPBES UN Planning, Programming, Budgeting and Evaluative

System

SG Secretary-General

SUNFED Special UN Fund for Economic Development SWAPO South West African People's Organization

TCDC Technical Cooperation among Developing Countries

TNC Transnational Corporation

UK United Kingdom UN United Nations

UNAMIC UN Advance Mission in Cambodia

UNA-USA UN Association of the United States of America

UNAVEM UN Angola Verification Mission UNCDF UN Capital Development Fund

UNCED UN Conference on the Environment and Development

UNCHS UN Centre for Human Settlements (HABITAT)
UNCIO UN Conference on International Organization

UNCLOS UN Conference on the Law of the Sea

UNCSDHA UN Centre for Social Development and Humanitarian

Affairs

UNCSTD UN Conference on Science and Technology for

Development

UNCTAD UN Conference on Trade and Development UNCTC UN Centre for Transnational Corporations

UNDOF UN Disengagement Observer Force UNDP UN Development Programme

UNDRO Office of the UN Disaster Relief Coordinator

UNEF UN Emergency Force in Sinai

UNEF(II) UN Emergency Force (II) Separating Egypt and Israel

UNEP UN Environmental Programme

UNESCO UN Educational, Scientific and Cultural Organization

UNFDAC UN Fund for Drug Abuse Control UNFICYP UN Peacekeeping Force in Cyprus UNFPA UN Fund for Population Activities

UNGA UN General Assembly

UNGOMAP UN Good Offices Mission in Afghanistan and Pakistan

UNHCR UN High Commission for Refugees UNICEF UN Children's Emergency Fund

UNIDO UN Industrial Development Organization

UNIFIL UN Interim Force in Lebanon

UNIIMOG UN Iran–Iraq Military Observer Group
UNIKOM UN Iraq–Kuwait Observer Mission
UNIPOM UN India–Pakistan Observer Mission
UNITAR UN Institute for Training and Research

UNJSPB UN Joint Staff Pension Board

UNMIBH UN Mission in Bosnia and Herzegovina

UNMIG UN Mission in Georgia UNMIH UN Mission in Haiti

UNMOGIP UN Military Observer Group in India and Pakistan

UNMOP UN Observer Mission in Croatia UNMOT UN Observer Mission in Tajikistan

UNOC UN Operation in the Congo

UNOG UN Office at Geneva

UNOGIL UN Observer Group in Lebanon
UNOMIG UN Observer Mission in Georgia
UNOMIL UN Observer Mission in Liberia
UNOMSA UN Observer Mission in South Africa
UNOMSIL UN Observer Mission in Sierra Leone

UNOMUR UN Observer Mission in Uganda and Rwanda

UNOSOM UN Operations in Somalia

UNOV UN Office at Vienna

UNPAAERD UN Programme of Action for African Economic Recovery

and Development

UNPROFOR UN Protection Force in Yugoslavia

UNRISD UN Research Institute for Social Development

UNRWA UN Relief and Works Agency for Palestine Refugees in the

Near East

UNSCEAR UN Scientific Committee on the Effects of Atomic

Radiation

UNSF UN Security Force in West Irian

UNTAC UN Transition Assistance Team in Cambodia UNTAG UN Transition Assistance Group in Namibia

UNTSO UN Truce Supervision Organization

UNU United Nations University
UNYOM UN Yemen Observer Mission

xx List of Acronyms

UPU Universal Postal Union

US United States

USA United States of America USG Under-Secretary-General

USSR Union of Soviet Socialist Republics

WCF Working Capital Fund
WFC World Food Council
WFP World Food Programme
WHO World Health Organization

WIPO World Intellectual Property Organization

WMO World Meteorological Organization

WOMP World Order Model Project WTO World Trade Organization